Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/539,270 TRANSMITTAL Filing Date June 16, 2005 **FORM** First Named Inventor KLEINERT Art Unit (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 52 200-101 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer** Extension of Time Request Identify below): postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Please charge any fee deficiencies or credit any overpayments to Deposit Account No. 50-0951. Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Mark D. Passler, Registration No. 40,764 Akerman Senterfitt Individual name Signature

# I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Mark D. Passler Date 5 - 2 - 06

Date

5-2-06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**PATENT** 

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: KLEINERT

Application No. 10/539,270

Examiner:

Filed: June 16, 2005

Group Art Unit:

For:

METHOD FOR EVALUATING ULTRASONIC SIGNALS OF A FLAW IN A

**WORKPIECE** 

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR §1.97(b)

### CERTIFICATE OF TRANSMISSION/MAILING

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Reg. No. 40,764

Mark D. Passler

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56, the references cited on the accompanying forms PTO/SB/08A and PTO/SB/08B are hereby brought to the attention of the Examiner for independent evaluation. A copy of each non-patent document and foreign language reference is enclosed, along with an English-language abstract where available. The references were cited in the PCT Search Report. A copy of the Search Report is enclosed, which satisfies the requirement for a concise explanation of foreign language references.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and made of record in the present application and that an initialed copy of form PTO/SB/08A be returned in accordance with MPEP §609.

# Certification

This Statement is being filed prior to the issuance of the first Office Action on the merits for the present application, and this paper is thus submitted in accordance with 37 CFR §1.97(b). In view of this certification, a fee is not required for consideration of these documents. Nevertheless, should a fee be deemed to be due by the Commissioner, such fee should be charged to Deposit Account No. 50-0951.

Respectfully submitted,

Dated: \_\_ 5-2-06

J. Rodman Steele, Jr.

Registration No. 25,931

Mark D. Passler

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Docket No. 200-101

PTO/SB/08A (04-03)

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# lbstitute for form 1449/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete ii Known			
Application Number	10/539,270		
Filing Date	June 16, 2005		
First Named Inventor	KLEINERT		
Art Unit			
Examiner Name			
Attorney Docket Number	200-101		

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<del>                                     </del>	US- 6,234,025 B1	05/22/2001	GIESKE ET AL.	
		US-	03/22/2001	OILONE ET AL.	
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		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
		GB-1 239 970-A	07/21/1971	KRAUTKRAMER		
		DE-198 03 615-A1	08/05/1999	BETR FORSCH		
						<u></u>

Examiner	Date	
Signature	Consid	dered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (07-05)

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Substitute for form 1449/PTO	Complete if Known		
Substitute for form 1443/1 TO	Application Number	10/539,270	
INFORMATION DISCLOSURE	Filing Date	June 16, 2005	
STATEMENT BY APPLICANT	First Named Inventor	KLEINERT	
	Art Unit		
(Use as many sheets as necessary)	Examiner Name		
Sheet 2 of 2	Attorney Docket Number	200-101	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		GROHS, B. et al., Characterization of Flaw Location, Shape, and Dimensions with the ALOK System, Materials Evaluation, Vol. 40, January 1982	
		DEUTSCH, V., Rechnergestutzte Fehlerbeschreibung, Ultraschallprufung, 1997, pp 133-141	
		SCHLENGERMANN, U., Characterization of Reflectors by Ultrasonic Methods, NDTNET, Vol. 1, No. 12, December 1996, pp 1-8	
		SCHLENGERMANN, U., Beitrag zur Ersatzfehlergroßenbestimmung beim Ultraschallprufen nach der Tandemmethode, Schweiben und Schneiden, Vol. 26, No. 5, 1974, pp 169-172	
			,

Examiner Date Signature Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO**: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.